

# Maverick Thomas

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## SUMMARY

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Strategic Audit Manager with over 7 years in internal auditing. Successfully led diverse audit projects, enhancing control environments and complying with regulations. Collaborated with cross-functional teams to implement robust governance strategies. Documented findings and communicated actionable insights to senior leaders, fostering organizational trust. Committed to professional development and trends within financial services, adapting audit methodologies for emerging risks while prioritizing integrity and diversity contributing to a culture of excellence at each stage.

## EXPERIENCE

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### Audit Manager

March 2020 - Present

Global Financial Solutions

*Chicago, IL*

Manage an audit team responsible for conducting thorough audits of complex financial products. Engage closely with other departments—such as Technology and Compliance—to drive initiatives aimed at risk mitigation and regulatory adherence.

- Led end-to-end audits focusing on identified risks within the finance sector, resulting in significant improvements in the risk management program.
- Collaborated directly with regional teams, enhancing communication processes, which traded efficiency gains across functional areas.
- Documented and presented comprehensive audit reports to executive leadership, driving strategic decisions regarding reforms to compliance programs.
- Built strong relationships across business units that helped facilitate smoother audit processes and enhanced stakeholders' confidence in audit outcomes.
- Utilized data analytics for detailed insights into operations, leading to targeted recommendations based on actual performance metrics.
- Mentored junior auditors effectively, promoting continuous improvement and fostering a high-performance audit culture.

### Senior Auditor

July 2016 - February 2020

Capital Assurance Group

*Chicago, IL*

Executed detailed internal audits focused on enterprise-wide risk assessment and external compliance obligations. Key contributor to shaping the company's risk framework through consistent evaluation and reporting.

- Successfully developed tailored audit plans aligned to corporate objectives, ensuring all critical elements were addressed systematically.
- Partnered with various stakeholders ensuring ongoing compliance with internal and regulatory frameworks, reinforcing effective governance.
- Effectively communicated complex issues and solutions to senior management, facilitating timely corrective actions and policy revisions.
- Evaluated new business initiatives prior to launch, determining control measures, thus securing operational integrity from the outset.
- Drove training initiatives for staff members about evolving regulations and best practices, contributing to overall knowledge retention within the team.
- Proactively sought opportunities for process generation leading to accelerated timelines and improved resource utilization during audits.

### Audit Associate

May 2014 - June 2016

Finance Solutions Inc.

*Chicago, IL*

Provided support in conducting fieldwork for assorted audit clients. Engaged in audits that spanned various sectors with tangible impacts on operations.

- Contributed significantly to data gathering and result documenting during audits, helping maintain a consistent quality output.
- Engaged actively in assessing compliance controls, contributing notes and observations employed to prepare final documentation.
- Created visual presentations reiterating audit conclusions for management reviews, allowing non-technical stakeholders insights into efficient strategies.
- Participated in ongoing professional education through workshops, supplementing footnotes gathered with practical insights gleaned.
- Identified potential improvements during audits, proactive problem-solving leading to historical cost savings across key processes.
- Coordinated information retrieval efforts for various audit needs, establishing extended networks that assisted in streamlined results.

## LEADERSHIP & AWARDS

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- Awarded 'Audit Excellence Award' for outstanding contributions to developing risk management strategies that have achieved excellence.
- Recognized among top performers by executive leadership during mid-year evaluations for exemplary teamwork and delivering impactful insights.

## EDUCATION

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### Bachelor's Degree in Accounting

2014

University of Illinois at Chicago GPA: 3.8

Chicago, IL

*Coursework: Financial Reporting, Auditing, Taxation, Management Accounting*

## CERTIFICATIONS

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- Certified Internal Auditor (CIA) 📅 2021
- Certified Public Accountant (CPA) 📅 2020

## TECHNICAL SKILLS

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- **Project Management Tools:** JIRA, Trello, Asana
- **Data Analysis Software:** Tableau, Excel, Power BI
- **Auditing Standards:** GAAP, IFRS, PCAOB
- **Reporting Frameworks:** COSO, COBIT, ISO 31000
- **Risk Assessment Methods:** Qualitative Analysis, Quantitative Analysis, Risk Matrix
- **Compliance Tools:** RSA Archer, Workiva, Wolters Kluwer
- **Data Tools:** SQL, ACL Analytics, IDEA
- **Presentation Software:** Microsoft PowerPoint, Google Slides, Prezi
- **Communications Platforms:** Slack, Microsoft Teams, Zoom
- **Coding / Scripting:** Python, R, VBA

## SKILLS

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- Internal Auditing
- Risk Management
- Data Analytics
- Regulatory Compliance
- Team Leadership
- Communication
- Process Improvement
- Report Drafting
- Control Evaluation
- Thought Leadership
- Operational Efficiency
- Governance Strategy
- Stakeholder Engagement
- Training Development
- Executive Summaries
- Audit Testing

## PROFESSIONAL AFFILIATIONS

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- Member of American Institute of CPAs (AICPA), maintaining current knowledge of industry dynamics and ethical standards.
- Active participant in Chicago Accountants Association, engaging discussions concerning regulatory reform and service standards.

## LANGUAGES

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- English (Native)
- Spanish (Intermediate)

## ADDITIONAL INFORMATION

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**Work Status** : Authorized to work in United States. No sponsorship required.

## REFERENCES

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AVAILABLE ON REQUEST